Paper Presentation

Jason Liu jasonl@wustl.edu Paper 1: Progressive-Hint Prompting Improves Reasoning in Large Language Models Background: Prompt design matters for LM reasoning

State-of-the-Art (SOTA) methods: Chain-of-Thought (CoT)
and Self-Consistency

Contribution: Progressive-Hint Prompting (PHP)

Use LM's (own) previously-generated result to guide its reasoning toward the correct answer

Improved accuracy without losing efficiency

Can be used alongside other SOTA methods

Background: Reasoning

Arithmetic Reasoning: solving math word problems (MWPs)

Commonsense Reasoning: making inference from world
 knowledge

♦ Symbolic Reasoning: e.g. last letter concatenation, coin flip

Coin flip: tracking the state of coin after people flip or not-flip it

4

🚔 Background: Prompt design

Prompt Engineering's boost to LM reasoning is comparable to full
fine-tuning.

It is also more sample-efficient

Chain-of-Thought (CoT) is a prompting method that promotes step-by-step
 reasoning

A "greedy" [1] approach

Self-consistency is another that samples multiple answers and aggregate them

Various reasoning paths can reach the same right answer for complex problems

[1] Xuezhi Wang, Jason Wei, Dale Schuurmans, Quoc Le, Ed Chi, and Denny Zhou. Selfconsistency improves chain of thought reasoning in language models. In The Eleventh International Conference on Learning Representations, 2023. 3, 9

Contribution: iterative refinement

Examining reasoning steps we made <u>so far</u> can help us get to the right answer

Correcting mistakes

Doing better in the next reasoning step

Contribution: Progressive-Hint Prompting(PHP)

Sequentially <u>interact</u> with the LM as it works toward the right answer Given a question Q:

1. Get answer A = LM(Q)

2. Q = Q + A

3. Repeat 1, 2 until the Subsequent Answer stabilizes (does not change over 2 iterations)

Base Answer: the first A we get from the original Q

"Stage 1," handled differently from subsequent answer Subsequent Answer: A's we get thereafter

Contribution: Progressive-Hint Prompting(PHP)

🧐Observation: Q snowballs over the iterations

Base Prompting

Q: There are 96 fourth-graders at Small Tree School. 43 of them are girls. On Friday, 5 fourth-grade girls and 4 fourth-grade boys were absent. How many fourth grade boys were at Small Tree School on Friday?



Progressive-Hint Prompting

Q: There are 96 fourth-graders at Small Tree School. 43 of them are girls. On Friday, 5 fourth-grade girls and 4 fourthgrade boys were absent. How many fourth grade boys were at Small Tree School on Friday? (Hint: The answer is near to 58).



Progressive-Hint Prompting

Q: There are 96 fourth-graders at Small Tree School. 43 of them are girls. On Friday, 5 fourth-grade girls and 4 fourthgrade boys were absent. How many fourth grade boys were at Small Tree School on Friday? (Hint: The answer is near to 58, 48).



Progressive-Hint Prompting

Q: There are 96 fourth-graders at Small Tree School. 43 of them are girls. On Friday, 5 fourth-grade girls and 4 fourthgrade boys were absent. How many fourth grade boys were at Small Tree School on Friday? (Hint: The answer is near to 58, 48, 49).



A: There were 96 fourth-graders at Small Tree School. 43 of them were girls. On Friday, 5 girls and 4 boys were absent. So there were 43 - 5 = 38 girls at school on Friday, and 96 -38 = 58 boys at school on Friday. The answer is **58**.

A: We know the Answer Hints: 58. With the Answer Hints: 58, we will answer the question. There were 96 fourth-graders at Small Tree School, and 43 of them were girls.On Friday, 5 girls and 4 boys were absent. So there were 43 - 5 = 38 girls and 96 - 43 = 53 boys at Small Tree School on Friday. After subtracting the absent students, there were 38 - 4 = 34 girls and 53 - 5 = 48 boys. The answer is 48.

A: We know the Answer Hints: 58, 48. With the Answer Hints: 58, 48, we will answer the question. There were 96 fourth-graders, and 43 of them were girls. On Friday, 5 girls and 4 boys were absent. So there were 43 - 5 = 38 girls and 96 - 9 = 87 students in total. Of those 87 students, 38 were girls, so there must have been 87 - 38 = 49 boys. The answer is 49.

A: We know the Answer Hints: 58, 48, 49. With the Answer Hints: 58, 48, 49, we will answer the question. There were 96 fourth-graders at Small Tree School, and 43 of them were girls. On Friday, 5 girls and 4 boys were absent. So there were 43 - 5 = 38 girls and 96 - 43 = 53 boys originally. After the absences, there were 38 - 5 = 33 girls and 53 - 4 = 49 boys. The answer is 49.

The 'Hint' keeps getting longer "Is near" "We know the Answer Hints…" & "we will answer… **Note:** green text NOT added to the Base Answer! (Its there in the Subsequent Answer via CoT demonstrations)

Contribution: Progressive-Hint Prompting(PHP)

CoT: cot_PHP_aqua.txt (https://github.com/chuanyang- Zheng/Progressive- Hint)

Q: John found that the average of 15 numbers is 40. If 10 is added to each number then the mean of the numbers is? Answer Choices: (a) 50 (b) 45 (c) 65 (d) 78 (e) 64 A: If 10 is added to each number, then the mean of the numbers also increases by 10. So the new mean would be 50. The answer is (a).

PHP ("PHP-Cot" in the paper)

Q: John found that the average of 15 numbers is 40. If 10 is added to each number then the mean of the numbers is? (Hint: The answer is near to (a)). Answer Choices: (a) 50 (b) 45 (c) 65 (d) 78 (e) 64 A: We know the Answer Hints: (a). With the Answer Hints: (a), we will answer the question. If 10 is added to each number, then the mean of the numbers also increases by 10. So the new mean would be 50. The answer is (a).

Contribution: Experiment Setting

fmodel needs to figure out right answer in BOTH situations:

Hint == correct answer

Hint != correct answer

Recall: the 'Base Answer' starts off the interactive process

Generated using Standard prompt, CoT prompt, and Complex CoT Datasets: AddSub, MultiArith, SingleEQ, SVAMP, GSM8K, AQuA and MATH

LLMs: text-davinci-002, text-davinci-003, GPT-3.5-Turbo and GPT-4

Contribution: Varying LMs and prompting methods

Table 2: PHP, when applied to different LLMs and prompting methods, can help to improve the performance. Meanwhile, PHP works better when the model and prompt are more powerful. The results are with greedy decoding.

	Prompt	PHP			Datase	et			Average
	Trompt		AddSub	MultiArith	SingleEQ	SVAMP	GSM8K	AQuA	Therage
	Standard [9]	X	79.4	34.0	80.7	64.8	15.1	25.5	49.91
	Standard [8]	1	80.5	31.8	79.9	64.2	14.7	25.5	49.43
			(+1.1)	(-2.2)	(-0.8)	(-0.6)	(-0.4)	(0.0)	(-0.48)
GPT-3.5	CoT [8]	X	85.8	89.1	89.7	72.9	49.5	44.4	71.89
text-davinci-002		\checkmark	86.8	89.0	90.1	72.3	51.1	45.6	72.48
			(+1.0)	(-0.1)	(+0.4)	(-0.6)	(+1.6)	(+1.2)	(+0.59)
	Complex Cott [10]	x	82.5	89.8	87.7	70.4	57.6	37.4	70.89
	Complex Col [10]	\checkmark	83.7	90.1	89.9	74.6	61.2	37.0	72.75
			(+1.2)	(+0.3)	(+2.2)	(+4.2)	(+3.6)	(-0.4)	(+1.86)
	Standard [9]	x	89.1	36.3	83.8	68.7	15.9	28.3	53.68
	Standard [6]	\checkmark	89.1	36.0	83.6	68.7	16.0	28.3	53.61
			(0.0)	(-0.3)	(-0.2)	(0.0)	(+0.1)	(0.0)	(-0.07)
GPT-3.5	CoT [9]	×	90.6	93.6	92.7	81.0	56.1	44.0	76.33
text-davinci-003		\checkmark	91.1	94.0	93.5	81.3	57.5	44.4	76.96
			(+0.5)	(+0.4)	(+0.8)	(+0.3)	(+1.4)	(+0.4)	(+0.63)
	Complex CoT [10]	x	86.3	94.8	91.5	77.4	67.0	48.8	77.63
	Complex COT [10]	\checkmark	88.1	95.0	94.0	80.0	71.6	50.0	79.78
			(+1.8)	(+0.2)	(+2.5)	(+2.6)	(+4.6)	(+1.2)	(+2.15)





- Better with more powerful LMs
- Better with more powerful prompts
- Less interactions needed with more

powerful LMs

• Less interactions needed with and less powerful prompts

Contribution: Varying Hint Quality

Recall: hints come from the previous Subsequent Answer.
They are added to the current question

Table 3: Performance with different Base Answers. Initially, the base prompt provides base answers to the model and PHP generates the subsequent answers. The results are from text-davinci-003 with greedy decoding.

PHP	Base Prompt	Dataset						Average
	Duot Homp	AddSub	MultiArith	SingleEQ	SVAMP	GSM8K	AQuA	i i erage
	Standard [8]	89.1	36.0	83.6	68.7	16.0	28.3	53.61
PHP-Standard	CoT [8]	92.4	80.5	92.1	78.5	50.2	42.5	72.70
	Complex CoT [10]	90.6	80.6	92.9	77.2	60.3	45.6	74.53
	Standard [8]	90.8	92.5	90.7	80.2	52.3	40.9	74.56
PHP-CoT	CoT [8]	91.1	94.0	93.5	81.3	57.5	44.4	76.96
	Complex CoT [10]	90.6	96.8	93.7	81.2	62.6	50.0	79.14
	Standard [8]	88.3	80.1	93.3	80.4	65.5	35.4	73.83
PHP-Complex CoT	CoT [8]	88.8	95.6	94.8	81.4	70.6	45.6	79.46
=2	Complex CoT [10]	88.1	95.0	94.0	80.0	71.6	50.0	79.78

Contribution: Ablation study on adding Rehearsing hint

Table 4: Ablation Study. CoT-Merge: for the CoT base prompt and the PHP-CoT prompt, we employ the prompt that contains both base prompt and the PHP. **P1**: We know the Answer Hints $A_1, ..., A_p$. **P2**: With the Answer Hints $A_1, ..., A_p$, we will answer the question. According to the experiment results, we see that both the proposed P1 and P2 are necessary. Meanwhile, non-merge based method is better than merge based method when prompts are more powerful. The results are from text-davinci-003 with greedy decoding.

Method	P1	P2			Datase	et			Average
			AddSub	MultiArith	SingleEQ	SVAMP	GSM8K	AQuA	Be
CoT-Merge	\checkmark	\checkmark	91.3	94.6	93.1	79.5	58.6	50.0	77.85
	X	X	91.1	93.5	93.3	80.0	58.1	44.8	76.80
CoT [9]	\checkmark	X	90.8	93.1	92.9	80.7	58.8	43.7	76.66
	X	\checkmark	91.3	93.8	93.5	80.5	58.2	46.4	77.28
	\checkmark	\checkmark	91.1	94.0	93.5	81.3	57.5	44.4	76.96
Complex CoT-Merge	\checkmark	\checkmark	88.8	94.3	94.6	78.1	70.2	46.8	78.80
	X	X	87.8	93.3	93.7	78.0	68.3	50.3	78.56
Complex CoT [10]	\checkmark	X	87.8	95.1	94.2	78.5	70.5	48.4	79.08
Complex Col [10]	X	\checkmark	88.3	94.3	94.6	79.1	69.3	46.8	78.73
	\checkmark	\checkmark	88.1	95.0	94.0	80.0	71.6	50.0	79.78

Table 5: Analysis of Hint Design (Shown in Figure 1). Correct: The hints of designed prompt are the same as the correct answers. Incorrect: The hints of the designed prompt are the incorrect answers. Green: The performance is better than without progressive-hint. Red: The performance is worse than without progressive-hint. The results are from text-davinci-003 with greedy decoding.

Method	H	lint			Datase	et			Average
	Correct	Incorrect	AddSub	MultiArith	SingleEQ	SVAMP	GSM8K	AQuA	
-	×	×	90.6	93.6	92.7	81.0	56.1	44.0	76.33
CoT [8]	~	×	91.6	94.3	93.3	81.9	57.0	43.7	76.96
	×	\checkmark	91.1	93.5	93.1	79.7	57.9	45.2	76.74
	\checkmark	\checkmark	91.1	94.0	93.5	81.3	57.5	44.4	76.96
	×	×	86.3	94.8	91.5	77.4	67.0	48.8	77.63
Complex CoT [1	0] 🗸	X	88.3	94.0	93.8	77.8	68.6	46.4	78.14
	×	\checkmark	88.1	94.6	94.0	79.2	70.2	48.4	79.08
	\checkmark	\checkmark	88.1	95.0	94.0	80.0	71.6	50.0	79.78

Recall: hints are rehearsed at the beginning of the LM's answer too!

- Adding rehearsals improves performance
- PHP helps when there are correct AND incorrect hints

Contribution: Self-Consistency

Table 6: The results after adding Self-Consistency (SC). **Number**: The interaction number between agent and LLM. The best results of adding PHP are highlighted with red color, and the best results without PHP are highlighted with green color. We find that PHP further improves performance, even adding self-consistency. Meanwhile, PHP may reduce the cost of self-consistency.

X V Number X V Number X V Number	AddSub 90.6 90.8 2.0075 90.6 90.8 2.0075 91.1 91.6 2.0050 91.6	MultiArith 95.3 96.6 2.0433 96.5 97.1 2.0283 96.5 96.5 2.0366 96.5	SingleEQ 94.4 94.8 2.0098 93.8 93.8 2.0059 94.2 94.4 2.0098	SVAMP 81.6 83.5 2.1090 83.0 83.5 2.0510 83.3 83.7 83.7	GSM8K 63.3 66.3 2.5458 65.5 67.5 2.2145 68.0 68.6	AQuA 49.2 49.6 2.0157 49.2 50.0 2.0118 55.1	79.06 80.26 2.1218 79.76 80.45 2.0531 81.36
× ✓ Number × ✓ Number × ✓	90.6 90.8 2.0075 90.6 90.8 2.0075 91.1 91.6 2.0050 91.6	95.3 96.6 2.0433 96.5 97.1 2.0283 96.5 96.5 2.0366 96.5	94.4 94.8 2.0098 93.8 93.8 2.0059 94.2 94.4 2.0098	81.6 83.5 2.1090 83.0 83.5 2.0510 83.3 83.7	63.3 66.3 2.5458 65.5 67.5 2.2145 68.0 68.6	49.2 49.6 2.0157 49.2 50.0 2.0118 55.1	79.06 80.26 2.1218 79.76 80.45 2.0531 81.36
✓ Number ✗ ✓ Number ✗ ✓	90.8 2.0075 90.6 90.8 2.0075 91.1 91.6 2.0050 91.6	96.6 2.0433 96.5 97.1 2.0283 96.5 96.5 2.0366 96.5	94.8 2.0098 93.8 93.8 2.0059 94.2 94.4 2.0098	83.5 2.1090 83.0 83.5 2.0510 83.3 83.7	66.3 2.5458 65.5 67.5 2.2145 68.0 68.6	49.6 2.0157 49.2 50.0 2.0118 55.1	80.26 2.1218 79.76 80.45 2.0531 81.36
Number X Vumber X Number X V	2.0075 90.6 90.8 2.0075 91.1 91.6 2.0050 91.6	2.0433 96.5 97.1 2.0283 96.5 96.5 2.0366 96.5	2.0098 93.8 93.8 2.0059 94.2 94.4 2.0098	2.1090 83.0 83.5 2.0510 83.3 83.7	2.5458 65.5 67.5 2.2145 68.0 68.6	2.0157 49.2 50.0 2.0118 55.1	2.1218 79.76 80.45 2.0531 81.36
× ✓ Number × ✓ Number × ✓	90.6 90.8 2.0075 91.1 91.6 2.0050 91.6	96.5 97.1 2.0283 96.5 96.5 2.0366 96.5	93.8 93.8 2.0059 94.2 94.4 2.0098	83.0 83.5 2.0510 83.3 83.7	65.5 67.5 2.2145 68.0 68.6	49.2 50.0 2.0118 55.1	79.76 80.45 2.0531 81.36
√ Number × √ Number × √	90.8 2.0075 91.1 91.6 2.0050 91.6	97.1 2.0283 96.5 96.5 2.0366 96.5	93.8 2.0059 94.2 94.4 2.0098	83.5 2.0510 83.3 83.7	67.5 2.2145 68.0 68.6	50.0 2.0118 55.1	80.45 2.0531 81.36
Number × √ Number × √	2.0075 91.1 91.6 2.0050 91.6	2.0283 96.5 96.5 2.0366	2.0059 94.2 94.4 2.0098	2.0510 83.3 83.7	2.2145 68.0 68.6	2.0118 55.1	2.0531 81.36
× ✓ Number ×	91.1 91.6 2.0050 91.6	96.5 96.5 2.0366 96.5	94.2 94.4 2.0098	83.3 83.7	68.0 68.6	55.1	81.36
√ Number ×	91.6 2.0050 91.6	96.5 2.0366 96.5	94.4 2.0098	83.7	68.6	55 1	
Number ✗	2.0050 91.6	2.0366	2.0098			55.1	81.64
×	91.6	96 5	2.0000	2.0250	2.1144	2.0078	2.0330
\checkmark	01 (10.5	94.8	82.9	67.3	53.1	81.03
	91.6	96.6	95.0	83.7	68.4	53.1	81.39
Number	2.0050	2.0300	2.0050	2.0320	2.0530	2.0000	2.0208
x	88.1	97.0	93.1	80.4	73.5	51.5	80.60
\checkmark	89.6	97.3	95.2	82.5	76.9	51.9	82.23
Number	2.0378	2.0166	2.0334	2.2370	2.5390	2.0118	2.1459
×	88.6	98.3	93.3	82.4	76.4	54.3	82.21
\checkmark	89.1	98.5	95.2	83.4	78.2	54.7	83.18
Number	2.0177	2.0016	2.0295	2.059	2.1531	2.0078	2.0447
×	88.6	98.0	93.8	82.5	77.7	56.2	82.80
\checkmark	89.8	98.0	95.8	83.6	78.6	56.2	83.66
Number	2.0253	2.0000	2.0196	2.0330	2.0401	2.0000	2.0196
X	88.3	98.5	94.8	83.9	78.1	58.6	83.70
\checkmark	88.6	98.5	95.8	84.7	79.0	58.6	84.20
Number	2.0101	2.0000	2.0137	2.0210	2.0348	2.0039	2.0137
	Number × Number × Number × Number	Number 2.0578 X 88.6 V 89.1 Number 2.0177 X 88.6 V 89.8 Number 2.0253 X 88.6 Number 2.0101	Number 2.0576 2.0760 \cancel{X} 88.6 98.3 \checkmark 89.1 98.5 Number 2.0177 2.0016 \cancel{X} 88.6 98.0 \cancel{Y} 89.8 98.0 \cancel{X} 88.6 98.5 Number 2.0253 2.0000 \cancel{X} 88.6 98.5 Number 2.0101 2.0000	X 88.6 98.3 93.3 \checkmark 89.1 98.5 95.2 Number 2.0177 2.0016 2.0295 \checkmark 88.6 98.0 93.8 \checkmark 89.8 98.0 95.8 Number 2.0253 2.0000 2.0196 \checkmark 88.3 98.5 94.8 \checkmark 88.6 98.5 95.8 Number 2.0213 2.0000 2.0196 \checkmark 88.6 98.5 95.8 Number 2.0101 2.0000 2.0137	X 88.6 98.3 95.2 83.4 \checkmark 89.1 98.5 95.2 83.4 Number 2.0177 2.0016 2.0295 2.059 \checkmark 88.6 98.0 93.3 82.5 \checkmark 89.8 98.0 93.8 82.5 \checkmark 88.6 98.0 93.8 82.5 \checkmark 88.6 98.0 95.8 83.6 Number 2.0253 2.0000 2.0196 2.0330 \bigstar 88.3 98.5 94.8 83.9 \checkmark 88.6 98.5 95.8 84.7 Number 2.0101 2.0000 2.0137 2.0210	X 88.6 98.3 93.3 82.4 76.4 \checkmark 89.1 98.5 95.2 83.4 78.2 Number 2.0177 2.0016 2.0295 2.059 2.1531 \checkmark 88.6 98.0 93.8 82.5 77.7 \checkmark 89.8 98.0 93.8 82.5 77.7 \checkmark 89.8 98.0 95.8 83.6 78.6 Number 2.0253 2.0000 2.0196 2.0330 2.0401 \bigstar 88.3 98.5 94.8 83.9 78.1 \checkmark 88.6 98.5 95.8 84.7 79.0 Number 2.0101 2.0000 2.0137 2.0210 2.0348	X 88.6 98.3 93.3 82.4 76.4 54.3 \checkmark 89.1 98.5 95.2 83.4 76.4 54.3 \checkmark 89.1 98.5 95.2 83.4 78.2 54.7 Number 2.0177 2.0016 2.0295 2.059 2.1531 2.0078 \checkmark 88.6 98.0 93.8 82.5 77.7 56.2 \checkmark 89.8 98.0 95.8 83.6 78.6 56.2 Number 2.0253 2.0000 2.0196 2.0330 2.0401 2.0000 \bigstar 88.3 98.5 95.8 83.4 78.1 58.6 \checkmark 88.6 98.5 95.8 84.7 79.0 58.6 Number 2.0101 2.0000 2.0137 2.0210 2.0348 2.0039



Recall: Self-consistency <u>samples multiple answers</u> and aggregates them, thus exploring different reasoning paths.

• After applying Self-Consistency, PHP improve performance further

• PHP + Self-consistency needs smaller number of total sampled reasoning paths to achieve comparable performance of just self-consistency

Contribution: with GPT

Table 7: Performance of Complex CoT with GPT-3.5-Turbo and GPT-4, employing greedy decoding. Number: The average interaction number with LLM.

	PHP	Dataset						
		AddSub	MultiArith	SingleEQ	SVAMP	GSM8K	AQuA	Therage
Previous SOTA	×	94.9 [27]	100 [25]	95.5 [<mark>29</mark>]	89.1 [<mark>30</mark>]	92.0 [<mark>17</mark>]	76.4 [31]	91.31
GPT-3.5 Turbo	x √ Number	85.5 85.3 (-0.2) 2.1037	97.5 98.0 (+0.5) 2.0133	92.5 92.9 (+0.4) 2.0610	81.0 83.1 (+2.1) 2.3570	82.8 85.1 (+2.3) 2.3426	57.4 60.6 (+3.2) 2.3228	82.78 84.16 (+1.38) 2.2000
GPT-4	x √ Number	89.3 89.6 (+0.3) 2.0126	97.8 98.1 (+0.3) 2.0033	93.1 93.1 (0.0) 2.0019	90.5 91.9 (+1.4) 2.0700	94.9 95.5 (+0.6) 2.0507	77.5 79.9 (+2.4) 2.2913	90.51 91.34 (+0.83) 2.0716

Table 8: Performance of Complex CoT with GPT-3.5-Turbo and GPT-4 on MATH dataset, employing greedy decoding. Number: The average interaction number with LLM. **Overall**: The results overall MATH subtopics [14].

	PHP	MATH Dataset								
		InterAlgebra	Precalculus	Geometry	NumTheory	Probability	PreAlgebra	Algebra	Overall	
Previous SOTA[7]	×	-	-	-	-	-	-	-	50.30	
GPT-4 CoT[17]	×	-	-	-	-	-	-	-	42.50	
CDT 2.5 Turbo	×	14.6	16.8	22.3	33.4	29.7	53.8	49.1	34.12	
Complex CoT	~	17.1	16.1	25.4	35.1	33.7	57.7	51.1	36.50	
(Ours)		(+2.5)	(-0.7)	(+3.1)	(+1.7)	(+4.0)	(+3.9)	(+2.0)	(+2.38)	
(Ours)	Number	4.2746	3.9625	4.3361	3.8166	3.7594	3.1526	3.0716	3.6673	
GPT 4	×	23.4	26.7	36.5	49.6	53.1	71.6	70.8	50.36	
Complex CoT	\checkmark	26.3	29.8	41.9	55.7	56.3	73.8	74.3	53.90	
(Ours)		(+2.9)	(+3.1)	(+5.4)	(+6.1)	(+3.2)	(+2.2)	(+3.5)	(+3.54)	
(Ours)	Number	3.2414	3.2435	3.2233	3.1740	2.8122	2.3226	2.4726	2.8494	

• Overall: PHP improves performance

Section S

GPT 4: less interactions used compared to GPT-3.5-Turbo

Recall: less interactions needed for better model

💡 Limitations:

Set the set of t

🤨 'Escaping' wrong answers needs more rigorous examination

• Limitations inherited from CoT

Thinking backwards e.g. equations with unknowns

What is 'symbolic' reasoning for a LM?

• LMs answering MWPs without the question part? [2]

[2] Arkil Patel, Satwik Bhattamishra, and Navin Goyal. Are nlp models really able to solve simple math word problems? In Proceedings of the 2021 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, pages 2080–2094, 2021. 2, 4 https://arxiv.org/pdf/2212.10001.pdf

Paper 2: Towards Understanding Chain-of-Thought Prompting: An Empirical Study of What Matters

Background: We don't understand WHY CoT works well

In-context learning != fine-tuning?

Random or misleading labels can hurt models very little

Contribution:

Validity of demonstrations play a small role in reasoning quality

The relevance (to query) and ordering of the reasoning steps are more important for performance

CoT might be drawing on the models' inherent reasoning ability, rather than teaching it that!

Background: Components of CoT Rationale

Cot Rationale decomposed into:

Bridging Objects: LM need to traverse these to get to correct answer (e.g. numbers in a MWP)

Language templates: contextual hints, relationships, and predicates that can help the models' reasoning

Bo the ground truth of these components matter?

If not, what does to for LLM reasoning?

Contribution: Experiment Setup (Ablation on Validity)

& Multi-step reasoning:

Arithmetic

🙋 Multi-hop factual Question-Answering (QA)

Renchmarks: those that saw CoT boosting performance a lot

GSM8K for Arithmetic, Bamboogle for QA

Models: InstructGPT-175B2, text-davinci-002, text-davinci-003, PaLM, and Flan-PaLM

Metrics:

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Extrinsic: is answer correct?
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Intrinsic: Recall/F1 of Bridging Objects

fall-correct-except-last-step reasoning would have 0 extrinsic score 18

Contribution: Invalid reasoning

Humans wrote rationales for invalid reasoning in CoT's in-context demonstration

Keep premise the same

Subsequent steps altered to NOT produce correct answer These are drastic changes...

...in contrast to adversarial perturbations, which would be making minimal changes that also make the steps lead to the wrong answer

Contribution: CoT & Invalid Reasoning

LLMs achieve 80%~90% accuracy inference time, even when they are given demonstrations with <u>Invalid</u> Reasoning

СоТ	Originally, Leah had 32 chocolates and her sister had 42. So in total they had $32 + 42 =$ 74. After eating 35, they had 74 - 35 = 39 pieces left in total. The answer is 39.	Julie is reading a 120-page book. Yesterday, she read 12 pages and today, she read 24 pages. So she read a total of $12 + 24 = 36$ pages. Now she has $120 - 36 = 84$ pages left. Since she wants to read half of the remaining pages, she should read $84 / 2 = 42$ pages. The answer is 42.	<pre> Second Sec</pre>
Invalid Reasoning	Originally, Leah had 32 chocolates and her sister had 42. So her sister had 42 - $32 = 10$ chocolates more than Leah has. After eating 35, since $10 + 35 =$ 45, they had 45 - 6 = 39 pieces left in total. The answer is 39.	Yesterday, Julie read 12 pages. Today, she read $12 * 2 = 24$ pages. So she read a total of 12 + 24 = 36 pages. Now she needs to read 120 - 36 = 84 more pages. She wants to read half of the remaining pages tomorrow, so she needs to read $84 / 2 = 42$ pages tomorrow. The answer is 42.	<pre>when Invalid Reasoning yields correct ones! 20</pre>

Contribution: Ablation on Validity

Invalid Reasoning yields
rationales very similar to CoT's

When they answer correctly, the rationales are logically sound

Whey they answer wrongly, the mistakes are similar to that when CoT answers wrongly

The distribution of these mistakes are similar

Inter. F1 is an intrinsic metric👉

Error Types	CoT correct & IR wrong	CoT wrong & IR correct
Calculation	20%	20%
One step missing	35%	25%
Semantic understanding	45%	55%

Table 3: Distribution of error types of 20 examples from GSM8K where Chain-of-Thought (**CoT**) prompting reaches the correct answer and the Invalid Reasoning setting (**IR**) reaches a wrong answer, and 20 examples for the opposite case.



Towards Understanding Chain-of-Thought Prompting: An Empirical Study of What Matters

Contribution: Properties ("Aspects") of the components of Rationale

Recall: components of rationale are:

🎬 Bridging Objects & 💬 Language templates

• Relevance: is it based on corresponding component of query?

Bridging Objects: being the exact same

Language Templates: belong to the same set, follow the same relationships

• Coherence: is it in the correct order (relative to others)?

E.g. introducing a concept before referring to it is NOT cohere!

Contribution: Ablation on Rationale Components and their

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Prompt Setting	Example Query (Arithmetic Reasoning) Leah had 32 chocolates and her sister had 42. If they ate 35, how many pieces do they have left in total?	Example Query (Factual QA) Who is the grandchild of Dambar Shah?
STD (Standard prompting)	39	So the final answer is: Rudra Shah.
CoT (Chain-of-Thought)	Originally, Leah had 32 chocolates and her sister had 42. So in total they had $32 + 42 = 74$. After eating 35, they had $74 - 35 = 39$ pieces left in total. The answer is 39.	Dambar Shah (? - 1645) was the father of Krishna Shah. Rudra Shah was the child of Krishna Shah (? - 1661). So the final answer (the name of the grandchild) is: Rudra Shah.
(1) Invalid Reasoning	Originally, Leah had 32 chocolates and her sister had 42. So her sister had $42 - 32 = 10$ chocolates more than Leah has. After eating 35, since $10 + 35 = 45$, they had $45 - 6 = 39$ pieces left in total. The answer is 39.	Dambar Shah (? - 1645) was the king of the Gorkha Kingdom. The Gorkha Kingdom was established by Prince Dravya Shah. Dravya Shah has a child named Rudra Shah. So the final answer (the name of the grandchild) is: Rudra Shah.
(2) No coherence for bridg- ing objects	Originally, Leah had $32 + 42 = 74$ chocolates and her sister had 32. So in total they had $74 - 35 = 39$. After eating 35, they had 42 pieces left in total. The answer is 39.	Krishna Shah was the father of Rudra Shah. Dambar Shah (? - 1645) was the child of Krishna Shah (? - 1661). So the final answer (the name of the grandchild) is: Rudra Shah.
③ No <u>relevance</u> for bridg- ing objects	Originally, Leah had 19 chocolates and her sister had 31. So in total they had $19 + 31 = 50$. After eating 29, they had $50 - 29 = 21$ pieces left in total. The answer is 21.	Metis Amando was the father of David Amando. Ran- dall Amando was the child of David Amando. So the final answer (the name of the grandchild) is: Randall Amando.
(4) No coherence for lan- guage templates	After eating 32, they had 42 pieces left in total. Originally, Leah had $32 + 42 = 74$ chocolates and her sister had 35. So in total they had $74 - 35 = 39$. The answer is 39.	Dambar Shah (? - 1645) was the child of Krishna Shah. Krishna Shah (? - 1661) was the father of Rudra Shah. So the final answer (the name of the grandchild) is: Rudra Shah.
(5) No <u>relevance</u> for lan- guage templates	Patricia needs to donate 32 inches, and wants her hair to be 42 inches long after the donation. Her hair is 35 inches long currently. Her hair needs to be $32 + 42 =$ 74 inches long when she cuts it. So she needs to grow 74 - 35 = 39 more inches. The answer is 39.	The husband of Dambar Shah (? - 1645) is Krishna Shah. Krishna Shah (? - 1661) has a brother called Rudra Shah. So the final answer (the name of the brother-in-law) is: Rudra Shah.
6 No coherence	After eating $32 + 42 = 74$, they had 32 pieces left in total. Originally, Leah had $74 - 35 = 39$ chocolates and her sister had 35 . So in total they had 42 . The answer is 39 .	Krishna Shah was the child of Rudra Shah. Dambar Shah (? - 1645) was the father of Krishna Shah (? - 1661). So the final answer (the name of the grandchild) is: Rudra Shah.
7 No relevance	Patricia needs to donate 19 inches, and wants her hair to be 31 inches long after the donation. Her hair is 29 inches long currently. Her hair needs to be $19 + 31 =$ 50 inc long when she cuts it. So she needs to grow 50 -29 = 21 more inches. The answer is 21	The husband of Metis Amando is David Amando. David Amando has a brother called Randall Amando. So the final answer (the name of the brother-in-law) is: Randall Amando.

+ Additional scenarios:

- 1. Removing relevance: random substitution
- 2. Removing coherence: random shuffling

Contribution: Ablation on Rationale Components and their Properties cont.

Results:

- 1. Relevance and Coherence matters a lot for performance
- 2. Relevance is very important: removing it lead to the largest performance drop! (worse than standard prompting without rationale)
- 3. For Bridging objects, Relevance > Coherence: performance with incoherent bridging objects > that with irrelevant ones!
- 4. For Language templates, coherence matters

Contribution: Insights on CoT

🤯 Validity plays a minor role in CoT performance

freshow and coherence are more important

• CoT draws on and directs, rather than imbue, LLMs' reasoning ability

Models like text-davinci-003 and Flan-PaLM suffer less from the ablations when they have prior knowledge on the queried task

• CoT does NOT show that LLMs are few-shot learners in reasoning But this does NOT preclude LLMs from in-context learning

💡 Limitations:

- Ablating on LLMs' prior knowledge using alternative Benchmarks
- Lack of variety of reasoning tasks
 - E.g. Symbolic and Commonsense reasoning
- 🤨Neural Networks != 🧠
- Manual production of Invalid Reasoning samples
- More sophisticated Intrinsic metric

Recall: Intrinsic metrics are designed to give credit to getting the correct reasoning *<u>steps</u>

@Relevance for Bridging Objects: synonyms & equivalence?